

Physiologic simulator to predict the arterial oxygen saturation at extreme heights

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ABSTRACT

A simulator («Alturas») was developed to calculate the levels of arterial oxygen saturation (SaO_2) at heights ranging from small to extreme. The results of the simulations were compared to published experimental data in order to validate the accuracy of the simulator. Three types of cases were used in the comparison: (1) non acclimatized people at heights ranging from 20 m to 4,162 m above sea level, (2) inhabitants of mountain zones living at heights between 1,692 m and 4,230 m, (3) people in ascension of a mountain at heights from 4,250 m to 7,500 m. The statistical analysis shows no significant difference between the reported clinical values and the values generated by the simulator. The simulator is able to predict the different average values of SaO_2 at different heights under three conditions: recently arrived, in adaptation and in acclimatization.

Key Words: Medical simulators, pulmonary simulators, pulmonary physiology, extreme height.

RESUMEN

Se desarrolló un simulador («Alturas») para determinar los niveles de saturación de oxígeno arterial (SaO_2) desde pequeñas alturas hasta alturas extremas. Los resultados de las simulaciones presentadas aquí fueron comparados con datos experimentales publicados para validar su funcionamiento. Se compararon tres tipos de casos: (1) personas no aclimatadas en altitudes que van de 20 a 4,164 m sobre el nivel de mar, (2) habitantes de zonas montañosas en altitudes de 1,692 a 4,230 m, (3) personas en ascensión a una montaña en altitudes de 4,250 a 7,500 m. Los análisis estadísticos de comparación de medias muestran que no existen diferencias estadísticamente significativas entre los valores clínicos reportados y los valores generados por el simulador. El simulador permite predecir los diferentes valores medios de SaO_2 en las diferentes alturas en tres condiciones: recién llegados, en adaptación y en aclimatación.

Palabras clave: Simulador artificial, simuladores pulmonares, fisiología pulmonar, alturas extremas.

INTRODUCTION

The study of physiopathological processes which occur in individuals exposed to a hypobaric hypoxia is of great medical interest. This is because

140 million people live at heights beyond 2,500 m above sea level in cities around the globe mainly in North, Central and South America, Asia and Eastern Africa¹. For instance La Paz in Bolivia is located at 3,570 m above sea level and has over 2 million

inhabitants; El Alto has over a million. The amount of people living at extreme heights is increased by the number of workers that travel every day to work at heights above 4,000 m^{2,3}. All these people have had their cardio respiratory systems to adapt to survive under such conditions. All of them are under risk of developing all sorts of diseases associated with great heights such as chronic mountain sickness, pulmonary edema and cerebral edema. These conditions are often fatal^{4,5}.

Pulmonary physiology is especially suitable to be predicted through mathematical models that take into account the physical laws that explain the exchange of gasses taking place in the lungs. Nevertheless there was no simulator to predict the arterial oxygen saturation values (SaO₂) of an individual at different heights above sea level⁶.

For the simulation of the pulmonary function we count with the standardization of spirometry, several mathematical models used to describe pulmonary function^{7,8} and a number of clinical studies that present reference values for the vital capacity (VC), the forced vital capacity (FVC), the total lung capacity (TLC), the residual volume (RV), the functional residual capacity (FRC), and the tidal volume (VT). It is necessary to adjust the parameter values of all of these models to reproduce the reference values⁹. There are also pulmonary simulators¹⁰ that predict oxygen transportation and exchange at tissue level or that are related with pulmonary ventilation processes¹¹. None of them, however, are designed to simulate pulmonary physiology at great heights.

We base our simulator on the available knowledge on the physiology of the pulmonary function at great altitudes¹², also on the results of clinical measurements that took place in several expeditions at extreme heights¹²⁻¹⁴, such as Mount Chaltaya (5,260 m), Mount Potosi (6,080 m), Mount Illimani (6,500 m) in Bolivia, Mauna Kea in Hawaii, and Chajnantor in Chile, amongst others.

Because of the intrinsic difficulties of conducting this type of study there is little available data for higher altitudes. Nevertheless there was an excursion to Mount Everest in 1981 in which blood gasses were measured and cardiopulmonary function and muscular function were studied by a group of physiologists led by West¹⁵. The data for alveolar oxygen pressure and barometric pressure¹⁶ obtained in this expedition were used for the development of this simulator.

The barometric pressure decreases with altitude and hence the inspired PO₂ and PAO₂. At an altitude of 5,000 m, the highest at which humans reside,

the inspired PO₂ is only about half of the sea level value¹².

Physiologists often cite high-altitude acclimatization as one of the best examples of how the body responds to a hostile environment. The extent of hyperventilation at high altitude can be enormous. At the summit of Mount Everest, were the inhaled PO₂ is only 29% of its sea level value, the alveolar ventilation is increased approximately fivefold¹². From 3,500 m the PAO₂ is less than 50 mmHg and may require oxygen therapy.

DEVELOPMENT OF THE SIMULATOR

Visual Basic® ver. 5.0 for PC was used to create the simulator. The minimal requirements for the software are: Pentium III, 350 MHz or higher, Windows 98 or higher, SuperVGA Monitor with a resolution of 1024 x 768 pixels of higher and at least 10 MB of free space on Hard Drive.

Model for oxygen ventilation

The simulation of oxygen diffusion processes are referrals to the alveolar-capillar level: An equivalent alveolus (equivalent to the total area of pulmonary exchange in a 70 kg adult) is emulated with a capillarity split in two parts: one oxygenated and one not. The diffusion is ruled by the law of Fick in which the flux or amount of gas (\dot{V}_{gas}) which passes through a surface is inversely proportional to the thickness of the tissue (G) and directly proportional to the area of the exchange surface (S), the difference in pressures between the alveolus and the capillarity (P₁-P₂) and a constant of diffusion (D).

$$\dot{V}_{gas} = \frac{S}{G} \cdot D \cdot (P_1 - P_2) \quad (1)$$

The anatomical values used for the simulator were: Area of the blood - gas barrier of the lung = 100 m² (The lung was considered as a single compartment; an alveolus with this area of surface) and a 1 μm thickness for the alveolar-capillary membrane.

The following equation was used to determine the flux of CO₂ from plasma to the alveolus:

$$\frac{dCO_2}{dt} = - \frac{D_{CO_2}}{vol_{pl}} (P_{plCO_2} - P_{ACO_2}) \quad (2)$$

Where:

D_{CO₂} is the constant of diffusion for CO₂

P_{plCO₂} is the partial pressure of CO₂ in the blood plasma

P_{ACO₂} is the partial pressure of CO₂ in the alveolus

vol_{pl} is the plasma volume

To determine the concentration of O_2 in plasma the following equation was used:

$$\frac{dO_2}{dt} = \frac{D_{O_2}}{vol_{pl}} (P_{A_{O_2}} - P_{pl_{O_2}}) \quad (3)$$

Where:

D_{O_2} is the constant of diffusion for O_2
 $P_{pl_{O_2}}$ is the partial pressure of O_2 in the blood plasma
 $P_{A_{O_2}}$ is the partial pressure of O_2 in the alveolus
 vol_{pl} is the plasma volume

The obtained results are measured in millimeters of mercury (mmHg).

The following equation was used to establish the concentration of oxygen combined with hemoglobin:

$$\frac{dO_{2erythrocyte}}{dt} = \theta (P_{pl_{O_2}} - P_{erythrocyteO_2}) \quad (4)$$

$O_{2erythrocyte}$ is the concentration of oxygen combined with hemoglobin thus it is not proportional to the partial pressure. The results are given in a saturation percentage following Kelman's method. θ is the diffusion capacity of oxygen in blood $P_{erythrocyteO_2}$ is the partial pressure of oxygen in the erythrocyte.

The simulator uses height as an input variable. From altitude partial pressure of oxygen in the alveolus (PAO_2) and in the pulmonary capillarity of the non oxygenated side (PvO_2) are calculated. Three physiological conditions are considered: (a) recently arrived subject (no adaptation to the height), (b) acute adaptation, (c) chronic adaptation (acclimatization). For the acute and chronic adaptation to height the PAO_2 and PvO_2 were established from the reported values in the available literature^{12,15,17}. An exponential decay function proved to be the best at reproducing the data. For the non adapted condition the PAO_2 values are lower than those reported under the conditions of adaptation to high altitude and follow a decay exponential function. The program calculates the curve of oxygen saturation hemoglobin to the selected height. The maximum of the saturation curve of hemoglobin in the non oxygenated side is related to the PvO_2 calculated from the values reported in the literature¹⁵. It was considered from the different SaO_2 curves that P50 (P50 is the oxygen pressure corresponding to a 50% saturation) decreases as height increases¹⁷. This also happens with the highest saturation rates calculated

in the program. For this purpose, it was adjusted a third order polynomial, in a range from 0 to 9,000 m in height. PvO_2 values were determined from the transient part of the saturation curve corresponding to venous return¹⁸. In the case of acute and chronic adaptation, we used the oxygen saturation curve from oxygen saturation values reported for the different heights in both the deoxygenated and the oxygenated sides. In the non adapted scenario, saturation curves are lower than those calculated for acute and chronic adaptation to altitude. Saturation curves were simulated by a sigmoid function. This function fits the data of the saturation hemoglobin curve in a normal adult at sea level (equation 5, generated in the Origin® program). The maximum values of hemoglobin saturation and the mean values (P_{50}) that change with height correspond to the data reported by West^{15,17}.

$$SaO_2 = \frac{A1 - A2}{1 + \text{Exp}\left(\frac{PaO_2 - X0}{tx}\right)} + A2 \quad (5)$$

Where A1, A2, X0 and tx are constant, and PaO_2 is the oxygen partial pressure in the not oxygenated side.

This function is able to simulate the oxygen saturation curves with a maximum which decreases and a shift of the curve to the right as height increases.

The program flow diagram is shown in Figure 1. Each button of the simulator executes one event, it defines the parameters to reproduce a specific condition, such as adaptation or acclimatization to the altitude, and thus one module solves different exponential functions to calculate PAO_2 and PvO_2 and converts pressures to concentrations. Another module solves differential equations in terms of concentrations and calculates the percentage of hemoglobin saturation in the oxygenated side (SaO_2). The output is converted to pressure levels and PaO_2 is provided. The simultaneous resolution of differential equations provides the oxygen partial pressure in capillary oxygenated blood (PaO_2) and from this pressure the percentage of oxygen saturation of Hb in the oxygenated blood (SaO_2) is determined. Using Euler numerical method to solve the system of differential equations was sufficient for the purposes of the simulator. The coefficients of differential equations were modified empirically to approximate the simulation to the values of acute adaptation and acclimatization (chronic adaptation) posted by West¹⁵. The calculations of different oxygen partial pressures can be obtained for any

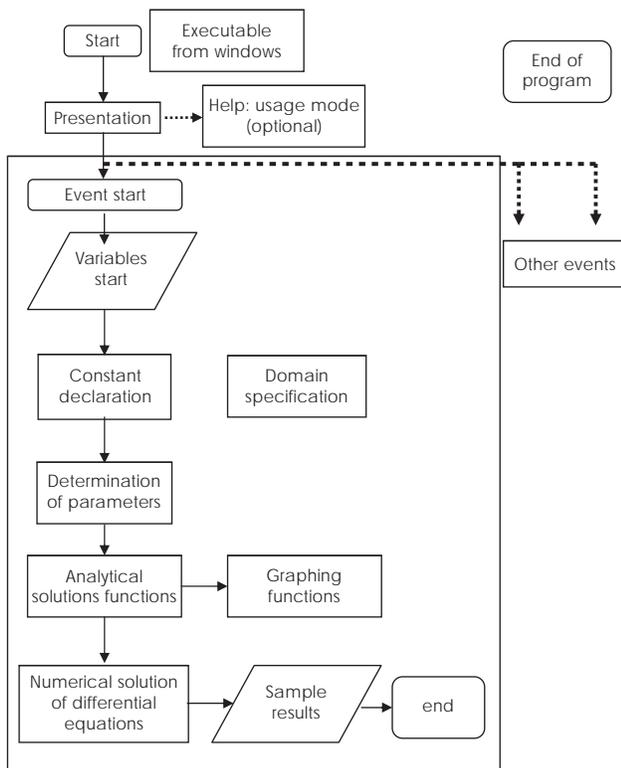


Figure 1. Flowchart. The program was conducted based on events, each button performs an event: adaptation simulation, acclimation simulation and without adaptation or acclimatization to the altitude simulation. Constants and variables are defined and the parameters adjusted to the published data are determined.

height between 0 and 8,840 m, this is, from sea level to Mount Everest. To generate a new simulated individual for the same height value, the corresponding value for the anatomic conditions changes automatically when you select «Yes» in the «new person» box in the user interface. The anatomic change parameter is modified with a maximum standard deviation of 1.8. The calculated pressures for greater heights are theoretical and correspond to aeronautical conditions which are not considered in this version of the simulator. As there are no «pattern» saturation curves for different heights, because each person is different, simulated values were determined from several individuals ($n = 15$) for each simulated altitude.

In order to validate the simulator operation, we compared the mean and standard deviation of SaO_2 simulated values against the mean and standard deviation values obtained clinically and reported in the literature, specifically the work of Botella de Maglia et al^{19,20}.

We compared a group of 214 not acclimatized people with SaO_2 measurements in various Spanish mountains and in the Alps up to 4164 m, another group of 209 inhabitants in various Spanish and Bolivian populations up to 4,230 m, that is, in an acclimated condition, and another group of 8 people in the process of climbing a mountain at an altitude greater than 7,000 m^{19,20}.

Statistical analysis of data was performed with the Origin® program. The comparison of SaO_2 at different heights was made using t-tests with a 0.05 significance value.

RESULTS

Program installation

The simulator is installed through the «setup» file which guides the user step by step to properly install the software.

Interface and use of the simulator

Figure 2 shows the user interface. At the top left of the screen there is an outline of Mount Everest, with a caption saying «INPUT HEIGHT», below it there's a box to enter data of the height, an OK button, a small bar that increases or decreases as after introducing the height, and a box showing the legend: high, low, moderate or extreme, depending on the height entered. In the middle and top of the screen there's an outline of the pulmonary alveoli with a box. Inside the box the alveolar oxygen partial pressure (PAO_2) calculated in the simulation appears. In the top right, the outline of a lung which increases its volume emulating breathing at a rate of 12 per minute is shown. On one side there is a button labeled «Click to view normal values» and there are three boxes, located under it, showing the normal values of PAO_2 , PaO_2 , and PvO_2 at sea level. In the middle of the screen there is a diagram of a pulmonary capillarity divided into two boxes: The left side represents the non-oxygenated blood getting to the lungs through the pulmonary artery (which corresponds to the systemic venous part) and the right side, the oxygen blood that gets to the heart through the pulmonary veins (which corresponds to the systemic arterial part). On both sides there are two panels showing the partial pressure of oxygen in the plasma and the calculated percentage of hemoglobin saturation (PvO_2 and SvO_2 , systemic venous, PaO_2 and SaO_2 , arterial systemic).

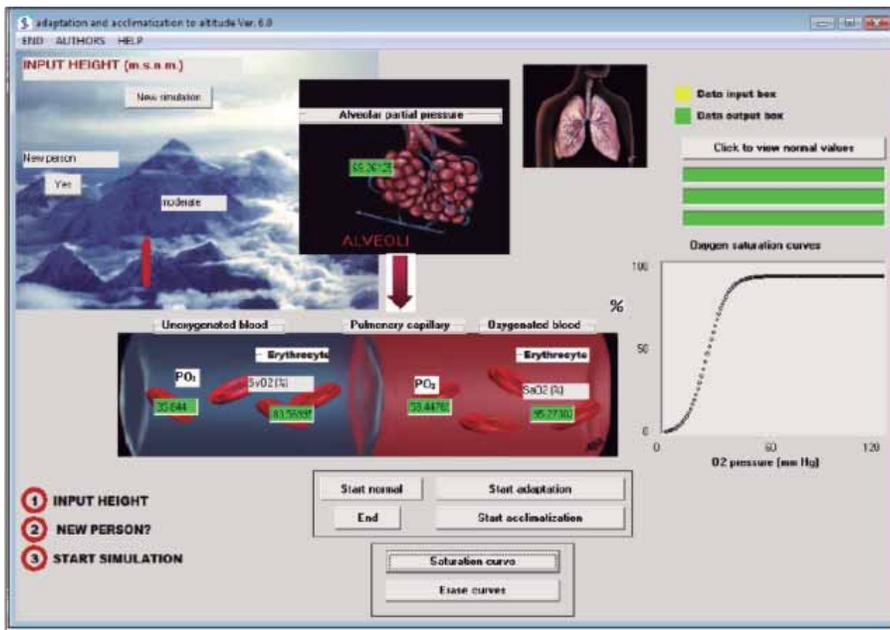


Figure 2. Interface. In the top left you are able to enter the height above sea level you want to simulate. The central and bottom areas show a group of buttons: Start normal (simulation without adaptation or acclimatization), Start adaptation, Start acclimatization.

Under this scheme there are four buttons: «Start Normal», «Start adaptation», «Start acclimatization» and «End». Below this buttons, there are two more buttons, one for creating a graph of the saturation curve and the other for deleting it. The right side of the interface displays a window where the saturation curve generated in the simulation is plotted.

Using the simulator

Enter the desired height data for the simulation, and then press the OK button. A bar (located by the photograph of Mount Everest) will change sizes to graphically represent the selected height (Figure 2). The simulator allows for switching of the patient at the same altitude level.

In this version, the simulator opens a window showing a picture of a place or mountain that roughly corresponds to the selected height. The user can review the heights of the highest mountains in the world, the highest countries and some of the cities in Mexico.

For proper use of the simulator it is recommended that for a single individual one first enters the desired height to simulate, and then simulates non acclimation conditions with the «Start normal» button, then simulates the adaptation (hyperventilation) conditions with the «Start adaptation» button, and finally simulates acclimatization conditions with the «Start acclimatization» button. In each step it is recommended to generate the saturation curve with the «Saturation curve» button. The user can then

compare every condition for the same individual.

If the purpose is to identify variables in different individuals for the same height, just press the «yes» button in the new individual box placed in the top left of the user interface. From now on, every time you press the button «Start normal», «Start adaptation» or «Start acclimatization» different values are generated for different individuals.

Simulation results

Figure 3 shows the simulation results under acute (3A) and chronic adaptation (3B) conditions to altitudes in the range 1,000 to 6,000 m. Calculated values of PAO_2 (simulated) match the PAO_2 reported values by West¹⁵ (alveolar reported). It can be noticed how during the acclimatization (chronic adaptation), PaO_2 values are higher than those found for acute adaptation.

Figure 4A shows how the saturation curves in the non-adaptation condition, decrease SaO_2 (%) = (97, 92, 87, 74 and 16) with increasing height (0, 2, 4, 6 and 8 thousand meters high) and how they move to the right. Figure 4B shows the saturation curves at 4,500 m in non adapted conditions, in adaptation and in acclimatization SaO_2 (%) = 37, 40, 49, respectively. It can be observed that the percentage of hemoglobin saturation is increasing.

To validate the simulator SaO_2 values in 15 different individuals for each height generated by the simulator were compared against experimental data reported earlier by Botella de Maglia et al^{19,20}.

Reported clinical data were obtained from three groups: (1) non acclimated people (214 measurements in various Spanish mountains and the Alps to 4,164 m), (2) 209 inhabitants of the mountains (with measurements in various Spanish and Bolivian populations in heights up to 4,230 m), (3) in non-acclimated people climbing to high altitudes

on an expedition to Gasherbrum II (8,035 m) with measurement of SaO_2 in camps II and III at 7,500 m, before reaching the summit. In all cases SaO_2 measurement was performed by pulse oximetry.

Comparison of simulation with the non-acclimated people

210 simulations were performed using the «Start Normal» button. Different altitudes corresponding to Valencia, Benasque, Valdelinares, Penyagolosa (summit), Valdecastillas (camp), the Renclusa, Portillo Higher Aneto (camp), Aneto (summit), Mulhacén (summit), Kleines Matterhorn (station), Barre des Ecrins (gap Lory), El Alto (airport) and Breithorn (summit) were used. A wide range of altitude from low (20 m) to high (4,164 m) was explored with these simulations.

For each height 15 simulations were performed corresponding to 15 different individuals to obtain the mean and standard deviation and they were compared with the corresponding mean and standard deviation reported. As shown in Table 1, there are no statistically significant differences between the clinical value reported and the values generated by the simulator: from 14 simulated altitudes, 12 values, generated by the simulator, showed no statistically significant differences, only at 1,813 and 4,164 m the estimated value was 2% higher than the reported one.

Comparison of the simulation results with the group of mountain inhabitants

90 simulations were performed using the «Start acclimatization» button. The generated values correspond to reported clinical values for locals. Analyzed altitudes ranging from 1,692 to 4,230 m correspond

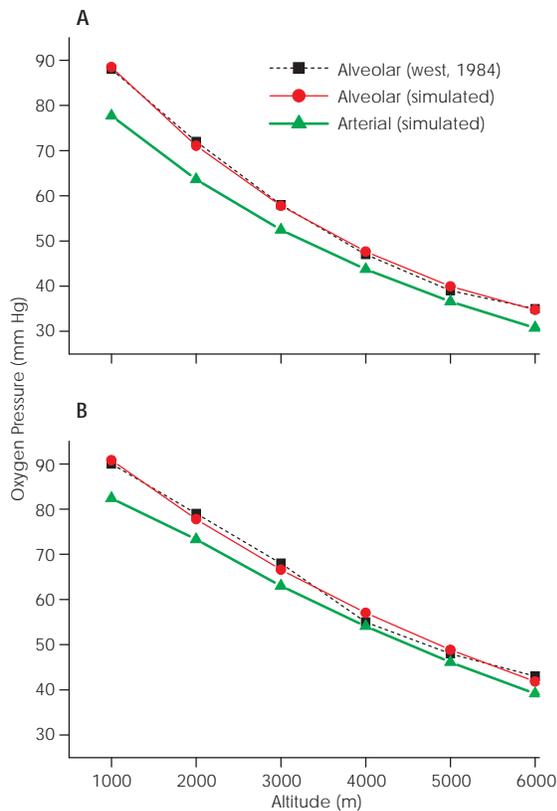


Figure 3. Shows the decrease in oxygen pressure in relation to altitude. The calculated values are similar to those reported. (A) Acute adaptation condition. (B) Acclimation condition, there was an increase in PAO_2 and PaO_2 in relation to the acute adaptation.

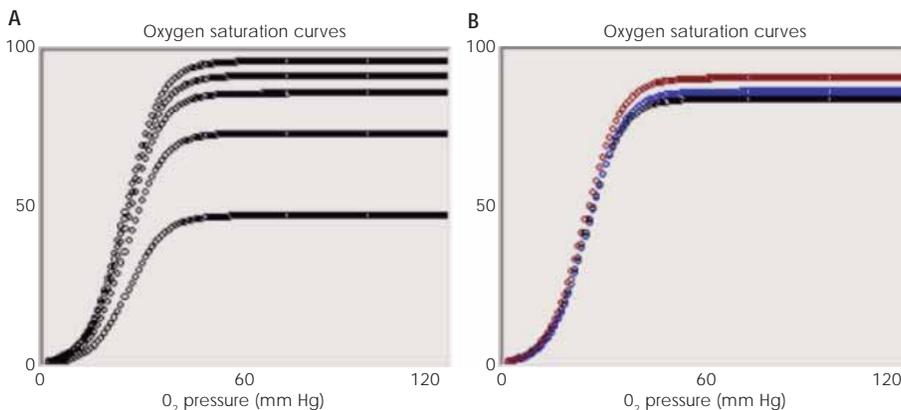


Figure 4. Shows the saturation curves of Hb (%). In (A), from top to bottom shows the saturation curves at 0, 2, 4, 6, 8 (thousands of meters) above sea level. In (B) shows the saturation curves at 4,500 m above sea level. From bottom to top: no adaptation, acute adaptation and acclimatization.

Table 1. Simulated and reported oxygen saturation rate in non-acclimated people. Means comparisons show no statistically significant differences in most of the heights.

SaO ₂ for no acclimated people					
Place	Altitude (m)	n	SaO ₂ (%)	SaO ₂ (%) simulated (n = 15)	p
Valencia	20	29	98.5 (0.5)	98.16 (0.58)	0.28
Benasque	1,138	10	98.0 (0.8)	97.82 (0.56)	0.26
Valdelinares	1,692	20	96.9 (1.1)	96.00 (0.46)	< 0.001
Penyagolosa (summit)	1,813	15	94.6 (1.5)	96.00 (0.45)	< 0.001
Valdecasillas (camp)	1,940	4	95.2 (1.2)	95.83 (0.38)	0.10
La Renclusa	2,140	10	95.1 (1.6)	94.43 (0.49)	0.10
Portillón superior	2,870	10	91.0 (3.5)	89.75 (0.26)	0.13
Aneto (camp)	3,300	67	87.2 (2.9)	86.78 (0.20)	0.12
Aneto (cumbre)	3,404	8	84.4 (6.5)	86.25 (0.16)	0.46
Mulhacén (summit)	3,482	4	85.8 (2.0)	85.78 (0.18)	0.72
Kleines Matterhorn (station)	3,820	14	84.8 (2.5)	84.25 (0.08)	0.20
Barre des Écrins (gap Lory)	3,974	5	84.8 (1.5)	83.68 (0.08)	0.05
El Alto (airport)	4,050	2	84.2 (0.3)	84.49 (0.89)	0.22
Breithorn (summit)	4,164	14	82.1 (3.0)	84.10 (1.05)	0.009

Table 2. Simulated and reported arterial oxygen saturation in acclimated individuals. It is noted that there are no statistically significant differences in most of the heights.

SaO ₂ for inhabitants of the mountains					
Place	Altitude (m)	n	SaO ₂ (%)	SaO ₂ (%) simulation (n = 15)	p
Valdelinares	1,692	12	97.6 (0.4)	97.78 (1.05)	0.27
Coroico (plaza Garcia Lanza)	1,760	50	97.7 (1.0)	97.13 (1.18)	0.04
Coroico (hotel Esmeralda)	1,815	10	97.4 (0.9)	97.02 (0.86)	0.20
La Paz (colegio San Ignacio)	3,315	65	94.2 (2.1)	92.00 (1.10)	< 0.001
El Alto (airport)	4,050	10	92.1 (2.6)	91.50 (1.04)	0.24
Sajama	4,230	50	88.8 (3.1)	91.50 (1.13)	< 0.001

to the following locations: Valdelinares, Coroico (Plaza Garcia Lanza), Coroico (Hotel Esmeralda), La Paz (San Ignacio School), El Alto (Airport) and Sajama. As shown in Table 2, in most places (4 out of 6) there are no statistically significant differences between the simulated values and the clinical ones for SaO₂. Only for two altitudes (3,315 and 4,230 m) there is a difference of 2 to 3% in oxygen saturation, respectively.

Comparison of the simulation results with the group of climbers ascending to high altitudes

To compare simulated results with those reported clinically in this case, we used the simulation with the «Start Normal» button because it is the ascent of a mountain over 7,000 m.

As shown in Table 3, the clinical sampling sites were: Gore II, base camp, Camp II, Camp III and during the ascension up to an altitude of 4,250, 5,200, 6,500, 7,000 and 7,500 m, respectively. For altitudes of 4,250 and 5,200 m, values generated using the «Start simulation» button were compared to those reported clinically. They show no statistically significant differences (Table 3). At altitudes corresponding to 6,500, 7,000 and 7,500 m, it was necessary to simulate hyperventilation conditions («Start adaptation» button). Under these conditions, the simulated results and the reported ones show no statistically significant differences. This results show that man can tolerate the extreme hypoxia only by an enormous increase in ventilation.¹⁵

Table 3. Comparison of oxygen saturation percentage reported in the literature against the simulated one. It is noted that there are no statistically significant differences. At higher altitudes hyperventilation was simulated.

SaO ₂ during the ascent of amountain over 7,000 m					
Lugar	Altitude (m)	n	SaO ₂	SaO ₂ simulated (n = 15)	p
Gore II	4,250	8	85.0 (4.3)	83.65 (0.97)	0.19
Base camp	5,200	8	78.4 (9.5)	78.42 (0.78)	0.50
Camp II	6,500	6	72.7 (6.7)	73.17 (1.14)*	0.43
Camp III	7,000	21	68.0 (9.3)	67.91 (1.15)*	0.48
	7,500	4	60.5 (13.5)	60.92 (1.05)*	0.47

* Hyperventilation (adaptation)

DISCUSSION

The simulator reproduces the lung function (steady state) of an individual, without supplemental oxygen at low altitudes, up to moderate, high and extreme altitude. The simulation of the adaptation and acclimatization processes match the reported data in the literature by Botella de Maglia et al^{19,20} For the differential equations solution, the Euler method was sufficient. Other numerical methods were not considered here since the purpose of the simulator wasn't to test the accuracy of different methods.

The results show that the generated simulations with the «Start Normal» button produce SaO₂ values very close to the clinically measured values in individuals in a non-acclimation condition (in most cases there are no statistically significant differences).

The obtained simulations with the «Start acclimatization» button correspond to SaO₂ values reported for people living at different altitudes. In most cases, no statistically significant differences were found.

SaO₂ values simulated predicted values reported with 95% probability. In cases where there are significant statistical differences the error was 2 to 3%. These errors are similar to those reported when comparing data from subjects in a hypobaric chamber versus those reported at different heights this is because of the considerable individual variability.

The simulation results in the group of non-acclimated condition while climbing to extreme heights predicted hyperventilation, as it is clinically examined on individuals. We report these results in the extreme heights and it is confirmed by the simulator. West¹⁵ report these results in the extreme heights and it is confirmed by the simulator.

We conclude that the simulator calculates properly the various saturation curves corresponding to any height (until 8840 m).

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