

Outpatient follow-up of patients with broncopulmonary dysplasia

Seguimiento ambulatorio de pacientes con displasia broncopulmonar

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ABSTRACT. Introduction: Bronchopulmonary dysplasia (BPD), a chronic lung disease, it is frequent in premature infants who require mechanical ventilation and/or prolonged oxygen therapy. Objective: To retrospectively describe demographic characteristics, associated factors and comorbidities in patients with BPD from the Pediatric Pneumology Service of the Hospital Infantil de México Federico Gómez. Material and methods: Retrospective observational study. 386 patients with BPD treated between 2014 and 2018 were evaluated. Neonatal, maternal and care variables were analyzed using STATA v.14 software. Results: 57.51% were male, with a gestational age of 31 weeks (range: 28 to 35), birth weight of 1,305 g (range 1,160 to 2,870 g); 73.83% were born before week 34. 95.34% were hospitalized between 1 and 3 times; 89.38% had between 1 and 10 visits with Pneumologist in the first two years of life and 26.94% used oxygen in the first visit. Patients with severe BDP used oxygen > 54.2 days (95% CI: 49.23 to 53.33; p = 0.0000). The most frequent comorbidity was neurological alteration (19.69%, p = 0.034) and the basic treatment was inhaled corticosteroids (p = 0.015) and salbutamol (p = 0.014). Conclusion: The characteristic of patients with moderate-severe BPD was the prolonged use of mechanical ventilation and supplemental oxygen, where inhaled corticosteroids can be useful for outpatient management.

Keywords: Bronchopulmonary dysplasia, lung diseases, infant premature, premature birth, premature diseases.

INTRODUCTION

Bronchopulmonary dysplasia (BPD) was described by Northway, Rosen and Porter (1967) as a lung disease in preterm infants requiring prolonged mechanical ventilation and high levels of supplemental oxygen^{1,2} and is defined **RESUMEN.** Introducción: La displasia broncopulmonar (DBP) es una enfermedad pulmonar crónica, frecuente en prematuros que requieren ventilación mecánica y/o oxigenoterapia prolongada. Objetivo: Describir retrospectivamente características demográficas, factores asociados y comorbilidades en pacientes con DBP del Servicio de Neumología Pediátrica del Hospital Infantil de México «Federico Gómez». Material y métodos: Estudio observacional retrospectivo. Evaluó 386 pacientes con DBP atendidos entre 2014 y 2018. Analizó variables neonatales, maternas y de atención, mediante el software STATA v.14. Resultados: El 57,51% fue de sexo masculino, con edad gestacional de 31 semanas (rango: 28 a 35), peso al nacer de 1.305 g (rango 1.160 a 2.870 g). 73,83% nació antes de la semana 34. El 95,34% se hospitalizaron entre una y tres veces; 89,38% tuvo en los dos primeros años de vida entre una y 10 consultas con Neumología y 26,94% usaba oxígeno en la primera consulta. Pacientes con DPB grave usaron oxígeno > a 54,2 días (IC95%: 49,23 a 53,33; p = 0,0000). La comorbilidad más frecuente fue la alteración neurológica (19,69%, p = 0,034) y el tratamiento de base fueron corticoides inhalados (p = 0,015) y salbutamol (p = 0,014). **Conclusión:** La característica de pacientes con DBP moderada-grave fue el uso prolongado de ventilación mecánica y oxígeno suplementario, donde los corticoides inhalados pueden ser útiles para manejo ambulatorio.

Palabras clave: Displasia broncopulmonar, enfermedad pulmonar, recién nacido prematuro, prematuro, enfermedades del prematuro.

by total duration of supplemental oxygen use, positive pressure requirement and gestational age, as well as oxygen dependence at 36 weeks postnatal age.^{3,4}

The incidence in care centers varies between 20 and 75%.⁵ Cohort studies such as ELGAN, Canadian Neonatal Network, Korean Neonatal Network, Vermont-Oxford

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Network and Swiss Neonatal Network, and studies conducted in China, Taiwan and India, show prevalences between 11 and 50%, due to differences related to gestational age or birth weight criteria associated with the diagnosis.⁶

The variation in neonatal outcomes identified in multicenter and multinational cohorts may result from differences in coverage, population characteristics, structure of perinatal health care, case definitions, quality and processes of care in different countries.¹

Risk factors include intrauterine growth restriction, male sex, chorioamnioitis, race, smoking, 1 and even genetic risk. $^{7\cdot10}$

Since 2005, the prevalence of BPD from the Vermont Oxford Network has decreased from 31 to 28%. Globally, BPD rates ranged from 13 to 32% in the iNEO (International Network for Evaluation Outcomes in Neonates) between 2007-2010.^{6,11-15}

Recent evaluations in the USA indicate that BPD develops in approximately 10% of preterm infants born between 28 and 31 weeks, and in 40% of preterm infants younger than 28 weeks.¹⁶ In Europe, 10 to 20% of preterm infants between 23 and 31 weeks developed BPD.¹⁶ In Mexico the prevalence of preterm infants is 10%, of which 8 to 12% are less than 1,200 g or less than 32 weeks, being this the population susceptible to develop BPD.¹⁷

Although BPD continues to be the most frequent complication in children under 30 weeks and low birth weight, in the last 50 years management has evolved through the use of prenatal corticosteroids, advanced techniques in neonatal care and the use of surfactant, allowing newborns with BPD to have better survival and a lower risk of mortality, although this favors an increase in prevalence.^{1,18,19}

Despite all efforts to prevent lung injury, it remains the most prevalent chronic lung disease in the preterm infant,²⁰ characterized by uniform inflammation, low-grade fibrosis, absence of airway epithelial metaplasia, smooth muscle hypertrophy, larger alveoli, and pulmonary vascular dysfunction.²¹

Care of the extremely premature infant requires hospitalization for approximately 60 days, and in some cases rehospitalization after discharge.⁵ During their first year of life, 49% require readmission.^{22,23} Follow-up studies are important because they allow visualization of pulmonary involvement, asthma-like symptoms, pulmonary hypertension and exercise intolerance with altered response to hypoxia.²⁴

In this work, our aim was to retrospectively describe the demographic characteristics, associated factors and comorbidities in patients with BPD who attended pediatric Pneumology outpatient clinic between 2014 to 2018.

MATERIAL AND METHODS

An observational, descriptive, retrospective study was conducted in 386 patients with a diagnosis of BPD who met the definition according to Bancalari (*Table 1*), and were seen in the outpatient Pneumology department of the Hospital Infantil de México «Federico Gómez» between 2014 and 2018. Patients with cyanosinging congenital heart disease or incomplete clinical history were not included.

Data for this study were taken from medical records and information on care received in the first two years of life was evaluated. Qualitative variables were reported as absolute and relative frequencies and quantitative variables as medians and ranges, after verification of the normality assumption with the Shapiro-Wilk test.

Gestational age at birth	< 32 weeks	> 32 weeks
Time of evaluation	36 weeks postconceptional age or hospital discharge (whichever comes first)	More than 28 days but less than 56 days postnatal age or hospital discharge (whichever occurs first)
Oxygen treatment	More than 21% during 28 days or more	
Mild BPD	Breathes room air at 36 weeks postconceptional age or at discharge (whichever comes first)	Breathing room air at postnatal day 56 or discharge (whichever comes first)
Moderate BPD	Receives supplemental oxygen with $FiO_2 2 < 30\%$ at 36 weeks postconceptional age or at discharge (whichever occurs first)	Receives supplemental oxygen with $FiO_2 < 30\%$ at postnatal day 56 or at discharge (whichever occurs first)
Severe BPD	Receives supplemental oxygen with $FiO_2 \ge 30\%$ and/ or CPAP or MV at 36 weeks postconceptional age or at discharge (whichever occurs first)	Receives supplemental oxygen with $FiO_2 \ge 30\%$ and/ or CPAP or MV at postnatal day 56 or at discharge (whichever occurs first)

Table 1:	Classification	of bro	nchopu	Ilmonary	dysplasia
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CPAP = continuous positive airway pressure, BPD = bronchopulmonary dysplasia, FiO₂ = fractional inspired oxygen, MV = mechanical ventilation. Adapted from: Jobe A, *et al.*³ Comparison between groups according to the severity of BPD was performed using the χ^2 test and Fisher's exact test for qualitative variables and the Kruskal-Wallis test for quantitative variables; a p value < 0.050 was considered significant. Statistical analyses were performed in STATA v.14.

This project was approved by the Institutional Ethics Committee and was carried out in accordance with the guidelines established in the Declaration of Helsinki and local regulations.²⁵

RESULTS

We retrospectively analyzed 386 clinical histories of patients with a diagnosis of BPD who met the inclusion criteria and attended a Pneumology consultation. Three patients were not included in the analysis, one because of cyanotic congenital heart disease and two because of incomplete clinical history. 57.51% (n = 222) were male, with a median gestational age of 31 weeks (range: 28 to 35 weeks), 73.83% (n = 282) of the patients were born before 34 weeks of gestation and had a median birth weight of 1,305 g (range: 1,016 to 2,087 g) (*Table 2*).

Patients were classified according to the severity of BPD into mild, moderate and severe according to the time of supplemental oxygen use and use of positive airway pressure, 47.15% (n = 182) of the cases were classified as moderate BPD, 33.68% (n = 130) as severe BPD and 18.39% (n = 71) as mild BPD (*Table 2*).

In all three BPD categories, the most affected were male patients (p = 0.153); median gestational age ranged from 31 to 31.4 weeks, p = 0.450 (*Figure 1A*); and median birth weight was 1,500 g (range: 1,030 to 2,200 g) in mild BPD group; 1,300 g (range: 1,000 to 2,100 g) in moderate BPD group and 1,305 (range: 1,050 to 2,050 g) in severe BPD group (p = 0.775) (*Figure 1B*).

The main characteristics of the study population are shown in *Table 2*. The number of days of oxygen use was higher in the severe BPD group (180 days; range: 96 to 370 days), compared with the mild BPD group (40 days; range: 30 to 57 days), p = 0.001. In the mild and moderate BPD groups, most patients (92.96 and 42.31%, respectively) used oxygen for a shorter period of time (less than three months); while in the severe BPD group, 46.15% used it for periods longer than six months.

Mechanical ventilation was used in the mild and moderate BPD groups for a period of less than one month, compared to the severe BPD group, where 71.54% used it for a period close to two months. Specifically, the use of mechanical ventilation was 12 days (range: 6 to 22) for the mild group, 20 days (range: 10 to 30 days) for the moderate and 54.2 days (95% CI: 49.23 to 59.33 days) for the severe, p = 0.000. The use of surfactant was established in only 28.45% (n = 109) of the population, and its administration was higher in the severe BPD group (33.08%). On the other hand, at hospital discharge from the newborn unit, a high number of patients with moderate (64.84%) and severe BPD (71.54%) used oxygen, compared to the mild BPD group (25.35%), p = 0.000.

The analysis of variables related to newborn history showed that low birth weight (9.97%), perinatal asphyxia (25.39%), intraventricular hemorrhage (19.69%) and patent ductus arteriosus (PDA) (19.69%) were the most prevalent, being more frequent in the severe BPD group, with no differences found between BPD groups.

The mothers of patients with BPD were mostly (79.27%) between 20 and 39 years of age with a grade of schooling mainly primary education (47.41%); only 3.37% (n = 13) had multiple pregnancies, 26.68% (n = 103) had early rupture of membranes and 15.54% (n = 60) suffered gestational hypertension. 73.63% (n = 282) had cesarean delivery, but no difference was found between BPD groups with respect to vaginal delivery (p = 0.876).

Family history of atopy (familial asthma) and environmental history (exposure to passive smoking, wood smoke and zoonosis) were also evaluated, but none of them showed differences between BPD severity groups (*Table 2*).

The most frequent comorbidities were: neurological alteration (19.69%) basically due to neurodevelopmental delay, cerebral palsy and central nervous system malformation, among others; cardiac alteration (9.33%), diagnosed by echocardiogram, included mainly PDA, atrial septal defect, ventricular septal defect and pulmonary hypertension (5.18%). Neurological disturbance and pulmonary hypertension were more frequent in the severe BPD group, and showed differences between BPD groups (p = 0.034 and p = 0.037 respectively); recurrent wheezing was present in only 0.78% of the population.

Information on other comorbidities found is detailed in *Table 2*. None of the patients evaluated (n = 383) were found to have diaphragmatic hernia. Analysis by BPD severity subgroups showed no differences.

The number of hospitalizations and medical care received in the first two years of life documented within the study period was evaluated, as well as signs and symptoms presented at the first Pneumology consultation. 95.34% of the patients had no or a maximum of three hospitalizations, while 4.66% had between four and seven hospital admissions. Regarding the number of consultations, 89.38% of the patients had between one and 10 Pneumology consultations (median: 4 consultations; range: 2 to 7 consultations), 8.81% between 11 and 20 consultations and 1.81% between 21 and 30 consultations and no differences were observed between

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BPD Severity Mild Moderate Severe SD n (%) n (%) n (%) р 3 71 (18.39) 182 (47.15) 130 (33.68) Variables NA Maternal variables Sex 1 Female 26 (36.62) 79 (43.41) 55 (42.31) Male 0.153 44 (61.97) 103 (56.59) 75 (57.69) Gestational age Median and range (weeks) 31.0 31.4 31.2 0.861 29-35 28-34 28-34 Range Birth weight 1,500 Median (g) 1,300 1,305 0.597 Range 1,030-2,200 1,000-2,100 1,050-2,050 Days with O Median (days) 40 107 180 0.001* 30-57 Range 63-210 96-370 Mechanical ventilation days 36 Median (days) 12 20 54 0.001* Range 6-22 10-30 49-59 Surfactant Yes 17 (23.94) 49 (26.92) 43 (33.08) NC Egress with O₂ Yes 18 (25.35) 118 (64.84) 93 (71.54) 0.000* **Prenatal history** Chorioamnioitis Yes 0 (0) 0.717 3 (1.65) 2 (1.54) Intraventricular hemorrhage 0.344 Yes 9 (12.68) 31 (17.03) 27 (20.77) Persistent ductus arteriosus Yes 10 (14.08) 33 (18.13) 33 (25.38) 0.115 Enterocolitis Yes 20 (10.99) 13 (10.00) 0.466 4 (5.63) Perinatal asphyxia Yes 17 (23.94) 44 (24.18) 37 (28.46) 0.577 Malnutrition at birth Yes 66 (92.96) 165 (90.66) 122 (93.85) 0.285 Maternal background Maternal age (years) < 20 10 (14.08) 28 (15.38) 13 (10.00) 0.574 20-39 58 (81.69) 141 (77.47) 106 (81.54) > 40 3 (4.23) 13 (7.14) 11 (8.46) Maternal schooling Primary 31 (43.66) 94 (51.65) 57 (43.85) High school 28 (39.44) 68 (37.36) 52 (40.00) 0.39 Technical 2 (3) 4 (2) 4 (3.08)

7 (10)

3 (4)

16 (9)

0.00 (0.00)

14 (10.8)

3 (2.31)

Table 2: Main characteristics of the study population.

	BPD Severity				
	SD	Mild n (%)	Moderate n (%)	Severe n (%)	р
Variables	3	71 (18.39)	182 (47.15)	130 (33.68)	NA
Maternal background					
Cesarean section Yes		54 (76.06)	133 (73.08)	95 (73.08)	0.876
Multiple pregnancy Yes		3 (4.23)	5 (2.75)	5 (3.85)	0.807
Premature rupture of membranes Yes		19 (26.76)	44 (24.18)	40 (30.77)	0.432
Gestational hypertension Yes		12 (16.9)	29 (15.93)	19 (14.62)	0.905
Family and environmental background					
Passive smoking Yes		10 (14.08)	26 (14.29)	12 (9.23)	0.493
Zoonoses Yes		22 (30.99)	48 (26.37)	26 (20.00)	0.338
Wood smoke Yes		4 (5.63)	4 (2.2)	4 (3.08)	0.393
Family history of asthma Yes		5 (7.04)	7 (3.85)	9 (6.92)	0.462

Table 2 continues: Main characteristics of the study population.

* Statistically significant. BPD = bronchopulmonary dysplasia.

BPD groups (p = 0.707), the details by severity groups can be seen in *Table 3*.

The mean age of the first consultation with Pneumology was 6.69 months (range: 3.78 to 15.51 months), and there was no significant difference in relation to the severity of BPD (p = 0.141).

Of the patients, 69.17% were symptomatic at the first consultation (*Table 3*) and 26.94% used oxygen, with the frequency of use being higher the greater the severity of BPD (p = 0.000). Chest X-ray was ordered in all patients in their first outpatient control, and analyzed in conjunction with the Radiology Service, finding results compatible with BPD such as linear interstitial infiltrates, reticular and hyperinflation in a total of 143 patients 37.05%; no differences were evident between severity groups (p = 0.353).

The use of medicines such as prenatal corticosteroid (18.60%), postnatal inhaled corticosteroid (80.31%), diuretics (58.29%) and salbutamol (39.90%) were part of the therapeutic scheme received by these patients. All medications had frequencies of use that increased gradually according to the severity of BPD, but only inhaled corticosteroid (p = 0.015) and salbutamol (p = 0.014) showed differences between groups.

DISCUSSION

The present study, based on information from a pediatric population of patients referred from early neonatal care in our institution and from patients referred from external hospitals, generates a great diversity in the population group attended, becoming a good option to describe factors associated with the risk of suffering BPD, as well as comorbidities characteristic of children with this disease.

Moderate and severe forms of BPD were frequent in the study population, especially in patients born around week 31 and with low birth weight (1,500 g), coinciding with that reported in the study by D'Angio et al.²⁶ who demonstrated that premature, small for gestational age or intrauterine growth restricted infants have a higher risk of adverse pulmonary effects and worse complications.

Lum et al.²⁷ report that children with a history of BPD are at increased risk of childhood respiratory symptoms or disease and chronic hypoxemia, due to decreased airway caliber, decreased expiratory flows and volumes, and reduced diffusing capacity reflecting disrupted alveolar development, decreased surface area for gas exchange, and disrupted angiogenesis. This work found that the requirement for mechanical ventilation, the number of days of prolonged supplemental oxygen use, as well as the use of oxygen at hospital discharge are factors that in our population fit the diagnostic criteria for BPD, which are usually necessary interventions in the most critical stages of neonates and are clearly related to the pathogenesis of the disease, as described in the study by Tapia *et al.*²⁸

BPD was more prevalent in male patients and in those who presented risk factors such as intraventricular hemorrhage, PDA and enterocolitis in the neonatal stage, showing an increase related to the severity of BPD, although it was not statistically significant. There are some factors that have been frequently identified in the development of BPD, among which are gestational age, male sex, and PDA.

In contrast to the study by Cunha *et al.*²⁹ regarding the characteristics of mothers of BPD patients, preeclampsia was not found to be a variable of interest, while premature rupture of membranes was, as was the study by Cokyaman *et al.*³⁰ The high frequency of cesarean section observed in our investigation agrees with that reported by Cunha *et al.*²⁹ and allows us to infer that these women probably had an early diagnosis of maternal and fetal complications that could have led them to a more rigorous control of pregnancy and delivery, despite their low schooling. Maternal age, unlike that reported by Klinger *et al.* was not related to the presence or severity of BPD in newborns with low birth weight.³¹

According to Cherian *et al*,³² oxygen is the most commonly used therapy during the stay of cases in neonatal units and plays an important role, since hypoxia can lead to pulmonary vasoconstriction and pulmonary hypertension, while hyperoxia can lead to the development of BPD, retinopathy of prematurity or injury to the cerebral white matter; the latter injury may be associated with neurodevelopmental delay (characteristic of these patients), referred to in our publication as neurological impairment.

The long-term repercussions commonly present are chronic pulmonary alterations that lead to frequent hospitalizations, generating up to 49% of readmissions during the first year of life.³³ In our study, during the first two years of life, the vast majority of cases required at least three hospitalizations, between one and 10 consultations by Pneumology and first attention by our service at around six months of age.

Other alterations that have been frequently identified in the development of BPD are persistent anomalies in the development of pulmonary function with the presence of chronic cough, wheezing and the use of bronchodilator medicines, with a high incidence of asthma at five years of age.³³⁻³⁵ In our study, although cough and wheezing were documented, we did not find a high frequency in the patients seen in consultation, but the use of bronchodilators did have an important frequency, their formulation being significant in patients with BPD.

In our study, inhaled corticosteroids were used with a high frequency and we were able to demonstrate that their use in the management of BPD is very useful. The above is aligned with that reported by the neurosis study, a double-blind, placebo-controlled trial conducted in 40 centers in nine European countries that measured the long-term effect of inhaled corticosteroids in 863 preterm infants aged 23 to 27 weeks, finding that the incidence of BPD was 27, 8% compared to 38% in those who did not receive this therapy, and also showed that in the long term there was no neurodevelopmental disability, deafness or blindness,^{36,37} and therefore they recommend its use, given its anti-inflammatory activity and fewer side effects than systemic steroids.³⁶



Figure 1: Median gestational age and birth weight in the study population.

			BPD Severity		
	SD	Mild n (%)	Moderate n (%)	Severe n (%)	
Variables	3	71 (18.39)	182 (47.15)	130 (33.68)	р
Medical care received					
Hospitalizations due to respiratory causes 0 to 3 4 to 7		68 (95.77) 3 (4.23)	177 (97.25) 5 (2.75)	120 (92.31) 10 (7.69)	0.140
Number of pulmonology consultations					
Median and range Range 0 to 10 11 to 20 21 to 30		5 (2 to 7) 61 (85.92) 8 (11.27) 2 (2.82)	4 (2 to 7) 164 (90.11) 16 (8.79) 2 (1.10)	5 (2 to 7) 118 (90.77) 10 (7.69) 2 (1.54)	0.489
Characteristics of the first pulmonology consultation	tion				1
Age Median (months) Range	26	5.95 2.84 to 12.72	6.27 3.22 to 15.35	6.88 4.60 to 15.61	0.141
Weight Median (kg) Range	19	5.0 3.5 to 8.0	4.8 3.5 to 7.2	4.7 3.29 to 7.1	0.446
Size Medium (cm) Range	20	60 53 to 71	59 52 to 72	57 51 to 70	0.590
Nasal obstruction Yes		3 (4.23)	10 (5.49)	4 (3.08)	0.641
O ₂ saturation Median (%) Range	19	94 93 to 95	93 91 to 95	93 92 to 96	0.269
Rhinorrhea Yes		3 (4.23)	15 (8.24)	9 (6.92)	0.604
Wheezing Yes		3 (4.23)	5 (2.75)	8 (6.15)	0.305
Pulling Yes		1 (1.41)	5 (2.75)	7 (5.38)	0.315
Cough Yes		14 (19.72)	32 (17.58)	30 (23.08)	0.493
Uses O ₂ Yes		9 (12.68)	45 (24.73)	49 (37.69)	0.000*
Cyanosis Yes		2 (2.82)	4 (2.20)	5 (3.85)	0.668
Crypts Yes		4 (5.63)	7 (3.85)	10 (7.69)	0.330

4 (5.63)

3 (1.65)

7 (5.38)

0.115

Table 3: Characteristics of medical care.

* Statistically significant. BPD = bronchopulmonary dysplasia.

02

Dyspnea

Yes

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In general, the data obtained show similar frequencies in the maternal and newborn variables reported in the study by Maya-Barrios *et al.*³³ conducted in the Mexican neonatal population.

One of the limitations of the study, given its retrospective nature, was the inability to perform reliable statistical estimation of important variables in patients with BPD, such as the use of surfactant and the presence of gastrointestinal comorbidity. This was due to the fact that it was not possible to establish with certainty in the entire population the use of surfactant and the performance of tests to confirm the presence of gastroesophageal reflux and/or alterations in swallowing mechanics, which are part of the gastrointestinal alterations. This may be due to underreporting in the medical records.

The goal with these patients has been to achieve increasingly faster discharges so that they are incorporated as soon as possible to an adequate outpatient follow-up that includes management by a multidisciplinary team that leads to the prevention of respiratory diseases, to achieve an early withdrawal of home oxygen and to an adequate nutritional, cardiovascular and neurodevelopmental followup, for which we have been working institutionally in the constitution of the BPD clinic with the support of different services.

CONCLUSIONS

The population of patients with BPD that was part of this study was characterized by having mainly severe and moderate disease requiring prolonged use of mechanical ventilation and oxygen therapy. No maternal, newborn history, or environmental factors were found to be statistically associated with the severity of BPD. Neurological alterations and pulmonary hypertension were significant complications in our population. Despite the severity of BPD, there was no high requirement for hospitalization, achieving outpatient follow-up through outpatient consultation in the Pneumology Service, where the use of inhaled corticosteroid proved to be very useful.

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