

Acute kidney injury in newborns with necrotizing enterocolitis: risk factors and mortality

Carlos Sánchez*, Miguel A. García and Ben D. Valdés

Hospital del Niño de Saltillo Dr. Federico Gómez Santos, Saltillo, Coahuila, Mexico

Abstract

Background: Both necrotizing enterocolitis and acute kidney injury are tightly related conditions, which independently increase mortality in newborns. Necrotizing enterocolitis is an inflammatory disease with a systemic repercussion that leads to inflammatory kidney changes predisposing to renal damage. **Methods:** This study assessed risk factors for the development of acute kidney injury in patients diagnosed with necrotizing enterocolitis and compared mortality between patients with or without acute kidney injury. Thirty-nine patients with the diagnosis of necrotizing enterocolitis were included, regardless of the gestational age. **Results:** Of 39 patients, 38.5% developed acute kidney injury. Survival showed to be significantly lower in patients with acute kidney injury (54.4 days) when compared to newborns without acute kidney injury (76.22 days; $p = 0.014$). Mortality in patients with acute kidney injury was 46.7%, increasing up to 62.5% with severe kidney damage. The hazard ratio for mortality was 4.708 for acute kidney injury ($p = 0.025$). The severity of enterocolitis showed to be an independent risk factor in developing acute kidney injury and severe kidney injury (odds ratio [OR] = 1.841, $p = 0.034$ and OR = 1.917, $p = 0.027$, respectively). **Conclusions:** Newborns with necrotizing enterocolitis should be evaluated for early recognition of acute kidney injury. Prospective studies with a higher number of patients are needed to identify modifiable risk factors to impact in the prevention of these conditions.

Key words: Acute kidney injury. Necrotizing enterocolitis. Mortality. Risk factors.

Daño renal agudo en recién nacidos con enterocolitis necrotizante: factores de riesgo y mortalidad

Resumen

Introducción: La enterocolitis necrosante y el daño renal agudo son condiciones íntimamente relacionadas que incrementan independientemente la mortalidad en recién nacidos. La enterocolitis necrosante es una enfermedad inflamatoria sistémica que desencadena cambios renales inflamatorios, predisponiendo el desarrollo de daño renal. **Métodos:** Se analizaron los factores de riesgo para el desarrollo de daño renal agudo en pacientes con diagnóstico de enterocolitis necrosante y se comparó la mortalidad entre los pacientes sin daño renal y los pacientes con daño renal agudo. Se incluyeron 39 pacientes con diagnóstico de enterocolitis necrosante, independientemente de la edad gestacional. **Resultados:** De los 39 pacientes, el 38.5% desarrolló daño renal agudo. La sobrevivencia de los que desarrollaron daño renal agudo (54.4 días) mostró ser significativamente menor al compararse con los recién nacidos que no presentaron daño renal (76.22 días; $p = 0.014$). La

Correspondence:

*Carlos Sánchez

E-mail: sanchez.carlos8516@gmail.com

Date of reception: 09-04-2019

Date of acceptance: 10-07-2019

DOI: 10.24875/BMHIM.19000044

Available online: 20-09-2019

Bol Med Hosp Infant Mex. 2019;76:210-214

www.bmhim.com

1665-1146/© 2019. Hospital Infantil de México Federico Gómez, published by Permanyer México SA de CV, all rights reserved.

mortalidad en los pacientes con daño renal agudo fue del 46.7%, que se incrementó hasta el 62.5% en aquellos con daño renal grave. El riesgo de mortalidad fue de 4.708 para daño renal agudo ($p = 0.025$). La gravedad de la enterocolitis necrosante demostró ser un factor de riesgo independiente para el desarrollo de daño renal agudo y de daño renal agudo severo (razón de momios [RM] = 1.841; $p = 0.034$ y RM = 1.917; $p = 0.027$, respectivamente). **Conclusiones:** Los recién nacidos con diagnóstico de enterocolitis necrosante deben ser evaluados para reconocer de forma temprana la presencia de daño renal agudo. Se requiere de estudios prospectivos con mayor número de pacientes para identificar factores de riesgo modificables que puedan impactar en la prevención de estas patologías.

Palabras clave: Daño renal agudo. Enterocolitis necrosante. Mortalidad. Factores de riesgo.

Introduction

The abrupt compromise of the renal function, which leads to fluids, electrolytes, and waste products disturbances, is known as acute kidney injury (AKI)¹. The incidence of AKI is increasing in hospitalized critically ill patients². In a multinational study, 26% of patients (4,683) admitted to the intensive care unit developed AKI. Severe cases were associated with an increased mortality³.

Up to 30% of the newborns admitted to the neonatal intensive care units develop some degree of kidney injury, being an independent risk factor for poor outcome⁴. However, the lack of standard definitions makes it difficult to diagnose and analyze the real impact of AKI, although even mild renal impairment conducts to important clinical consequences⁵.

In preterm infants, necrotizing enterocolitis (NEC) is a leading cause of mortality⁶. The incidence in the United States is 1.1 per 1000 live births, with a mortality of 50% on its more severe forms⁷. A significant number of survivors have profound neurodevelopment delay and a high impact on treatment costs and the quality of life⁸.

Bell's staging⁹ has traditionally been used to assign the severity of NEC. However, other risk factors such as peritonitis, sepsis, respiratory failure, and AKI have been described to contribute to its high mortality¹⁰.

AKI and NEC are two conditions tightly related, both frequently observed to develop simultaneously in preterm newborns¹¹. NEC is not limited to the gastrointestinal tract and leads to a systemic inflammatory response predisposing to AKI¹². The relation between these two conditions increases mortality in newborns.

This study aimed to analyze the risk factors to develop AKI in patients diagnosed with NEC and to compare the mortality between infants with and without AKI.

Methods

This study was designed as a retrospective cohort. A chart review of infants admitted to the neonatal intensive care unit was conducted from January 2012 to

December 2017, including patients with the diagnosis of NEC regardless of the gestational age. Exclusion criteria comprised insufficient essential data for the diagnosis of AKI and NEC severity staging. This study was approved by the Hospital Ethical Committee. The informed consent was not required.

AKI was defined according to the Kidney Disease: Improving Global Outcomes (KDIGO) Clinical Practice Guideline for Acute Kidney Injury staging¹³. According to these staging criteria, serum creatinine and urine output were considered. AKI is divided into three stages: stage 1 is defined as the increase in serum creatinine ≥ 0.3 mg/dl or the increase of 1.5 to 1.9 times baseline or urine volume < 0.5 ml/kg/h for 6 to 12 hours. Stage 2 is defined as the increase in serum creatinine 2 to 2.9 times baseline or urine output < 0.5 ml/kg/h for more than 12 hours. Lastly, stage 3 is characterized as the increase in serum creatinine 3 times baseline or ≥ 4 mg/dl or urine output < 0.3 ml/kg/h for more than 24 hours or anuria for more than 12 hours.

Baseline creatinine was considered as the lowest serum creatinine level at least 72 h before the diagnose of NEC; it was compared to the highest creatinine level during the development of NEC.

NEC was classified according to Bell's modified criteria¹⁴. All stages were included.

The patients were divided into two groups: with and without AKI. Data such as gender, birth weight, gestational age, Apgar score at 1 and 5 min, basal creatinine level, and sepsis were compared between no AKI and AKI groups. The quantitative variables were analyzed with independent t-test and qualitative variables using the χ^2 test and Mann-Whitney U test.

AKI stages 2 and 3 were grouped and defined as severe AKI for the analysis of risk factors and mortality.

Univariate logistic regression was conducted for each variable, and significant variables (with a p-value of 0.25 or lower) were included in a multivariate logistic regression to assess independent risk factors for AKI development. Survival was evaluated using a Kaplan-Meier curve with the log-rank test and the hazard for

Table 1. Characteristics of newborns with necrotizing enterocolitis

	No AKI	AKI	p value	OR	p value
Male, n (%)	13 (54.2)	7 (46.6)	0.648	1.351	0.649
Female, n (%)	11 (45.8)	8 (53.4)			
Birth weight (g), mean (SD)	1575.4 (617.2)	1686.3 (561.3)	0.576	1.000	0.565
Gestational age (weeks), mean (SD)	32.6 (2.98)	33.7 (3.98)	0.355	0.91	0.250
APGAR 1 min, median	7.0	7.0	0.268	0.594	0.078
APGAR 5 min, median	9.0	8.0	0.057	0.383	0.255
Basal creatinine (mg/dl), mean (SD)	0.5 (0.219)	0.49 (0.514)	0.958	0.950	0.956
Positive blood culture, n (%)	7 (29.1)	3 (20)	0.524	1.647	0.526
Sepsis, n (%)	10 (41.6)	8 (53.4)	0.477	4.200	0.117
Use of vasopressors, n (%)	2 (8.3)	4 (26.6)	0.123	0.250	0.321
Bell's stage 2B or greater, n (%)	6 (25)	11 (73.3)	0.003	8.250	0.005

AKI: acute kidney injury; OR: odds ratio; SD: standard deviation.

Table 2. Independent risk factors for acute kidney injury and severe acute kidney injury

	AKI			Severe AKI		
	OR	95%CI	p value	OR	95%CI	p value
Sepsis	2.947	(0.458-18.972)	0.255	3.879	(0.506-29.746)	0.192
Gestational age	1.465	(1.01-2.116)	0.044	1.098	(0.801-1.504)	0.561
APGAR 1 min	0.374	(0.162-0.862)	0.021	0.868	(0.593-1.270)	0.466
NEC severity ^a	1.841	(1.047-3.236)	0.034	1.917	(1.076-3.413)	0.027

AKI: acute kidney injury; NEC: necrotizing enterocolitis; OR: odds ratio; CI: confidence interval; APGAR: Appearance, Pulse, Grimace, Activity and Respiration.

^aaccording to Bell's staging.

mortality with a Cox regression model; a p-value <0.05 was considered statistically significant. IBM SPSS Statistics (IBM Corp. Released in 2013. IBM SPSS Statistics for Windows, Version 21.0. Armonk NY: IBM Corp.) was used for statistical analysis.

Results

A total of 39 patients met the inclusion criteria, 19 females (48.7%) and 20 males (51.3%), with a mean birth weight of 1,618 g and 33 weeks of gestational age (WGA). Fifteen patients developed some degree of AKI (38.5%), of which 53.3% corresponded to severe AKI.

The mean birth weight was 1,686.3 g in the AKI group and 1575.4 g in the no AKI group. The mean gestational age differed by one week between both groups (33.7 vs. 32.6 WGA, respectively). Baseline serum creatinine showed no statistical difference between groups

(p = 0.958). Apgar scores at 1 and 5 min showed no significant difference between groups (Table 1).

For the analysis of risk factors in the univariate logistic regression, NEC stage 2B or higher showed increased odds to develop any stage of AKI (OR: 8.25, p = 0.005), and 21 times increased odds to develop severe AKI (p = 0.009). Sepsis, gestational age, Apgar score at 1 min, and the severity of NEC were selected to analyze independent risk factors.

In the multivariate logistic regression, for every decreasing point in Apgar at 1 min, the odds of developing some degree of AKI were 1.67 (p = 0.021). Moreover, for every increasing grade in the severity of NEC, the odds increased 84% for any stage of kidney failure and 0.91 times for severe AKI (p values of 0.034 and 0.027, respectively) (Table 2).

The overall mortality was 25.6%. The mortality was 46.7% in the AKI group and 12.5% in the without AKI

group ($p = 0.017$) but increased up to 62.5% in the severe AKI (stages 2 and 3) group with a p -value of 0.007 when compared to the rest of the patients (16.1%). The survival at 30 days showed to be lower in the AKI group with a p -value of 0.005 (Figure 1).

The AKI hazard ratio (HR) for mortality was 4.70 ($p = 0.025$) compared to no AKI. When AKI stages 1 and 2 were compared alone, no significant increase in HR was observed (2.49, $p = 0.31$ and 1.76, $p = 0.62$, respectively). Conversely, AKI stage 3 showed an HR increase of 42.21 times for mortality ($p = 0.001$). Furthermore, when compared to the rest of the patients, AKI stage 3 showed an HR of 5.04 ($p = 0.011$). Severe kidney failure was related to an increase in mortality (HR= 6.802, $p = 0.009$), with the significant contribution of AKI stage 3 patients with a mortality of 100% (Figure 2).

Discussion

To the best of our knowledge, this study is the first to analyze the mortality of AKI in NEC patients in a Latin-American country.

In the study conducted by Li et al.¹⁵ in which NEC in term infants was addressed, kidney failure occurred in 2.8% of the patients, which was associated with a higher frequency in the no survivor group. Similar to our findings, gestational age and birth weight showed no association as risk factors for mortality. However, when compared to the present study, a significant difference in the occurrence of kidney failure was reported, which may be attributed to the inclusion of preterm, as well as term infants.

Criss et al. observed that 54% of the patients with NEC had AKI. In their analysis, no risk factors were significantly related to the development of kidney failure. The mortality appeared to be higher in patients with kidney injury with an HR = 2.4 ($p = 0.009$)¹⁶. In this work, not only the hazard for AKI was analyzed but for the different stages, finding that the HR increases proportionally to kidney failure severity.

In a study published in 2018, including infants with stage 2 NEC or higher, AKI was reported in 42.9% of the patients. Bell's stage 3, lower gestational age, maternal preeclampsia/eclampsia, and the use of nephrotoxic antibiotics were reported as independent risk factors for AKI, with a mortality of 69.7%¹⁷. Similar to our study, the severity of NEC showed to be an independent risk factor. However, maternal factors and exposure to nephrotoxic drugs were not addressed due to the deficient chart registry.

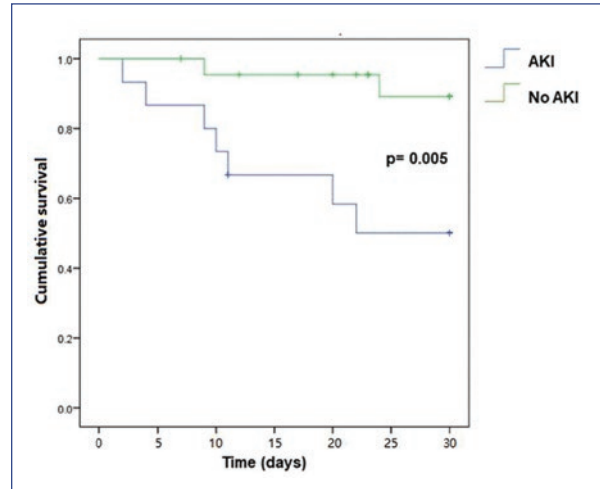


Figure 1. Survival plot of infants with necrotizing enterocolitis (acute kidney injury vs. no acute kidney injury).

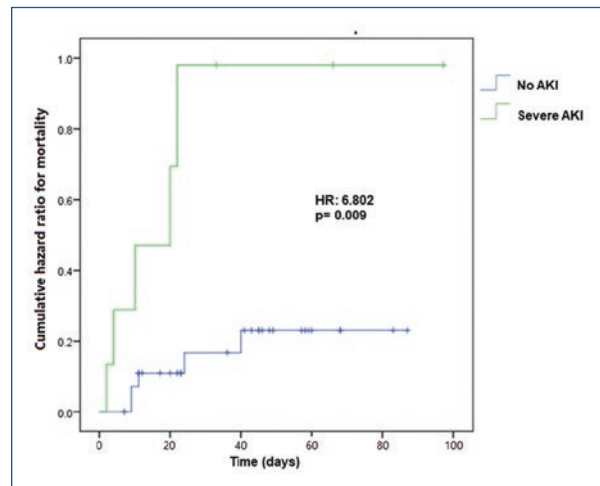


Figure 2. Cox regression analysis for mortality (severe acute kidney injury vs. no acute kidney injury).

Despite the differences with other reports, AKI was found to increase mortality in patients with NEC in this study. A possible explanation for the variations in mortality could be the availability of resources for diagnosis and treatment in different hospitals.

The role of gestational age, birth weight, and other factors in survival seemed to be inconsistent. However, the severity of NEC appears to be the principal risk factor to develop AKI.

Some limitations in this analysis are the small sample size and an inadequate chart registry. NEC and AKI continue to be important complications in newborns, especially in preterm infants. NEC by itself is a risk

factor to develop AKI, and kidney injury increases the mortality in these patients.

In conclusion, every newborn with NEC should be evaluated to recognize AKI early and provide adequate treatment to avoid fluid, electrolytes, and acid-base disturbances, and maintain adequate homeostasis.

Further studies with a larger number of patients are necessary to identify modifiable risk factors and impact in the prevention of these conditions, as well as more reports from developing countries to assure a better registry of these patients.

Ethical disclosures

Protection of human and animal subjects. The authors declare that no experiments were performed on humans or animals for this study.

Confidentiality of data. The authors declare that they have followed the protocols of their work center on the publication of patient data.

Right to privacy and informed consent. The authors declare that no patient identifying information appear in this article.

Conflicts of interest

The authors declare no conflicts of interest.

Funding

None.

References

1. Ciccia E, Devarajan P. Pediatric acute kidney injury: prevalence, impact and management challenges. *Int J Nephrol Renovasc Dis.* 2017;10:77-84.
2. Andreoli SP. Acute kidney injury in children. *Pediatr Nephrol.* 2009;24:253-63.
3. Kaddourah A, Basu RK, Bagshaw SM, Goldstein SL. Epidemiology of acute kidney injury in critically ill children and young adults. *N Engl J Med.* 2017;376:11-20.
4. Jetton JG, Boohaker LJ, Sethi SK, Wazir S, Rohatgi S, Sorano DE, et al. Incidence and outcomes of neonatal acute kidney injury (AWAKEN): a multicentre, multinational, observational cohort study. *Lancet Child Adolesc Health.* 2017;1:184-94.
5. Makris K, Spanou L. Acute kidney injury: definition, pathophysiology, and clinical phenotypes. *Clin Biochem Rev.* 2016;37:85-98.
6. Niño DF, Sodhi CP, Hackam DJ. Necrotizing enterocolitis: new insights into pathogenesis and mechanisms. *Nat Rev Gastroenterol Hepatol.* 2016;13:590-600.
7. Papillon S, Castle SL, Gayer CP, Ford HR. Necrotizing enterocolitis: contemporary management and outcomes. *Adv Pediatr.* 2013;60:263-79.
8. Zani A, Pierro A. Necrotizing enterocolitis: controversies and challenges. *F1000Res.* 2015;4:1373.
9. Gregory KE, Deforge CE, Natale KM, Phillips M, Van Marter LJ. Necrotizing enterocolitis in the premature infant: neonatal nursing assessment, disease pathogenesis, and clinical presentation. *Adv Neonatal Care.* 2011;11:155-64.
10. Bansal SC, Nimbalkar AS, Kungwani AR, Patel DV, Sethi AR, Nimbalkar SM. Clinical profile and outcome of newborns with acute kidney injury in a level 3 Neonatal Unit in Western India. *J Clin Diagn Res.* 2017;11:SC01-4.
11. Arcinue R, Kantak A, Elkhwad M. Acute kidney injury in ELBW infants (< 750 grams) and its associated risk factors. *J Neonatal Perinatal Med.* 2015;8:349-57.
12. Garg PM, Tatum R, Ravisankar S, Shekhawat PS, Chen YH. Necrotizing enterocolitis in a mouse model leads to widespread renal inflammation, acute kidney injury, and disruption of renal tight junction proteins. *Pediatr Res.* 2015;78:527-32.
13. Kidney Disease: Improving Global Outcomes (KDIGO) Acute Kidney Injury Work Group. KDIGO Clinical Practice Guideline for Acute Kidney Injury. *Kidney Int Suppl.* 2012;2:1-138.
14. Kliegman RM, Walsh MC. Neonatal necrotizing enterocolitis: pathogenesis, classification, and spectrum of illness. *Curr Probl Pediatr.* 1987;17:213-88.
15. Li QY, An Y, Liu L, Wang XQ, Chen S, Wang ZL, et al. Differences in the clinical characteristics of early- and late-onset necrotizing enterocolitis in full-term infants: a retrospective case-control study. *Sci Rep.* 2017;7:43042.
16. Criss CN, Selewski DT, Sunkara B, Gish JS, Hsieh L, Mcleod JS, et al. Acute kidney injury in necrotizing enterocolitis predicts mortality. *Pediatr Nephrol.* 2018;33:503-10.
17. Bakhoun CY, Basalely A, Koppel RI, Sethna CB. Acute kidney injury in preterm infants with necrotizing enterocolitis. *J Matern Fetal Neonatal Med.* 2019;32:3185-90.